

The Impact of Stratospheric Ozone Hole Recovery on Antarctic Climate

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Abstract

Model experiments have revealed that stratospheric polar ozone depletion causes the increase of tropospheric westerlies in the Southern Hemisphere (SH) observed during austral summer. As the stratospheric halogen loading decreases in the future, ozone is expected to return to higher values, with the disappearance of the Antarctic ozone hole. The impact of this ozone recovery on SH climate is investigated using 21st century simulations with a chemistry climate model (CCM). The model response to the ozone recovery by 2100 shows that tropospheric circulation changes during austral summer caused by ozone depletion between 1970 and 2000 almost reverse, despite increasing greenhouse gas concentrations. Comparison of the CCM results with multi-model scenario experiments for the Fourth Assessment Report (AR4) by the Intergovernmental Panel

- 1 on Climate Change (IPCC) emphasize the importance of stratospheric ozone change for
- 2 Antarctic climate.
- 3
- 4

1 **Introduction**

2 The SH polar climate has undergone significant changes over the past decades. The
3 dominant change between 1969 and 1998 was the lower stratospheric cooling during austral
4 spring [e.g., *Thompson and Solomon*, 2002]. The accompanying dynamical response resulted in a
5 one-month delay of the winter polar vortex breakdown with attendant consequences in the
6 troposphere [*Neff*, 1999]. Tropospheric trends were characterized by a strengthening of the
7 austral summer circumpolar westerlies, coincident with cooling over the Antarctic interior and
8 warming over the Antarctic Peninsula [*Thompson and Solomon*, 2002]. These trends are
9 consistent with a shift of the Southern Hemisphere Annular Mode (SAM) towards its positive
10 phase. The hypothesis of *Thompson and Solomon* [2002] that these seasonal changes may be
11 forced by lower stratospheric ozone loss has been verified in climate models [e.g., *Gillett and*
12 *Thompson*, 2003]. Anthropogenic greenhouse gas (GHG) increases also force the positive phase
13 of the tropospheric SAM index [e.g., *Kushner et al.* 2001]. This affects the year-round
14 circulation due to the increased meridional temperature gradient [*Brandefelt and Källén*, 2004].
15 The relative contributions of stratospheric ozone loss, GHG increases and natural forcings on
16 recent tropospheric SAM trends have been widely studied. The consensus is that polar ozone
17 changes are the biggest contributor to the observed tropospheric circulation changes during
18 summer [e.g., *Shindell and Schmidt*, 2004; *Alblaster and Meehl*, 2006; *Miller et al.* 2006].

19 Surface observations show that human produced ozone-depleting substances (ODSs) are
20 now declining and there are suggestions that the ozone hole is no longer growing [e.g. *Yang et*
21 *al.*, 2005]. Using a parametric model, *Newman et al.* [2006] showed that recovery of the
22 Antarctic ozone hole to 1980 levels will occur around 2068, and the area will very slowly decline
23 between 2001 and 2017. At the same time, GHG concentrations are expected to continue to

1 rise. The relative contributions of ozone hole recovery and GHG increases on the SH
2 circulation changes during the 21st century (C21) are not well understood. *Shindell and Schmidt*
3 [2004] found that GHG forcing and prescribed ozone recovery forcing oppose each other,
4 resulting in small SAM trends during the first half of the C21. Analyses of AR4 multi-model
5 scenario experiments suggest that increased GHG concentrations dominate the projected changes
6 during the C21, with little impact from ozone change [*Miller et al.*, 2006; *Arblaster and Meehl*,
7 2006]. However, inspection of individual SAM time series from the AR4 models that prescribe
8 ozone recovery [Fig. 11 of *Miller et al.*, 2006] suggests there is some influence of ozone
9 recovery in the first part of the C21; however these impacts may be masked when AR4
10 simulations with and without ozone recovery are grouped in one grand ensemble.

11 The goal of this study is to estimate the impact of ozone recovery on SH polar climate
12 using a coupled chemistry climate model (CCM). The CCM represents global dynamics and
13 radiation, with interactive stratospheric ozone chemistry, providing a realistic tool to simulate
14 changes in the ozone layer and their coupling to climate change. We will contrast the impacts of
15 polar ozone depletion in the 20th Century (C20) and recovery in the C21 on the circulation, and
16 relate the results to those from AR4 C21 simulations.

1 **Model experiments**

2 For this study, we used the Goddard Earth Observing System Chemistry-Climate model
3 (GEOS-CCM) Version 1 [Pawson *et al.*, 2008]. It includes radiative coupling between predicted
4 middle atmospheric ozone and other GHGs with the atmospheric circulation. Sea-surface
5 temperature (SST), sea ice and various trace gas concentrations are specified at the lower
6 boundary of the model. Aspects of stratospheric ozone-temperature coupling and the climatology
7 of the SH polar vortex have been evaluated by Stolarski *et al.* [2006], Eyring *et al.* [2006] and
8 Pawson *et al.* [2008]. The model captures the main aspects of the global coupling between
9 ozone and temperature. As with other CCMs, the Antarctic vortex breaks down late in the
10 season. Other weaknesses of the GEOS-CCM are too much year-to-year variability in the vortex
11 structure, a high initial bias in total ozone and a warm bias in lower stratospheric temperature
12 when there is no chlorine-induced ozone loss, which mean that Antarctic ozone loss and ozone-
13 induced cooling are overestimated [Pawson *et al.*, 2008].

14 We analyze two simulations of the recent past (P-1 and P-2) and three C21 simulations
15 (C21-1, C21-2 and C21C1960). The atmospheric and lower boundary forcings of these transient
16 simulations are summarized in Table 1. Simulations P-1 and P-2, starting from different initial
17 conditions, are forced with observed changes in SST and sea ice [HadISST, Rayner *et al.*, 2003],
18 GHG concentrations and halogens. GHG concentrations in the C21 runs follow IPCC scenario
19 A1b (medium, SRESA1B). In C21-1 and C21-2, the halogens are prescribed according to the
20 Ab scenario [WMO/UNEP, 2003], while in C21C1960, chlorine is fixed at 1960 values. SST
21 and sea ice distribution for the C21 simulations are taken from single AR4 SRESA1B
22 simulations with the coupled ocean-atmosphere models HadGEM1 (C21-1) and CCSM3.0 (C21-
23 2, C21C1960). Run C21-1 was included in the multi-model analysis of Eyring *et al.* [2007].

1

2 Table 1: Time Period, SST data set, scenarios for halogens and GHGs for GEOS-CCM

3 experiments.

Experiment	Time Period	SST	Halogens	Greenhouse Gases
P-1	1950-2004	Had1SST	Observed	Observed
P-2	1951-2004	Had1SST	Observed	Observed
C21-1	1996-2099	HadGEM1	WMO Baseline scenario Ab	IPCC/GHG scenario A1b (medium)
C21-2	2000-2099	CCSM3.0	WMO Baseline scenario Ab	IPCC/GHG scenario A1b (medium)
C21Cl1960	2001-2099	CCSM3.0	Chlorine fixed at 1960 values	IPCC/GHG scenario A1b (medium), with chlorine fixed at 1960 values

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Results from GEOS CCM

Figure 1 shows the time series of 70-hPa minimum zonal mean ozone mixing ratio (OMR-min) over SH polar cap area (between 90°S and 60°S) during October (using daily model output). Around year 1960, OMR-min is about 2.7 ppmv. The increase of stratospheric halogen loading causes the strong decline of OMR-min to less than 0.1 ppmv. As the stratospheric halogen loading decreases through the C21, the Antarctic ozone hole diminishes (recovers). By the end of the C21, OMR-min has recovered to 1970 values. In C21C1960, OMR-min varies around 2.8 ppmv.

Figure 2 compares SH climate change for the two periods 1969 to 1999 (period I) and 2006 to 2094 (period II). The change for each period is defined as the difference between 11-year means centered on 1999 and 1969 (Period I) and 2094 and 2006 (period II). Monthly changes in polar cap (90°S to 64°S) ozone and temperature, and in mid-latitude (70°S and 50°S) zonal-mean zonal wind are investigated. In addition, three-month overlapping changes in the SAM index based on the surface pressure difference between 65°S and 40°S [Gong and Wang, 1999] are shown.

The left (right) panels of Fig. 2 show the results for period I (II) based on the ensemble mean of simulations P-1 and P-2 (C21-1 and C21-2). The results discussed are very similar for the two individual simulations. They are significant on the monthly time scale in the stratosphere (99% level) and on the seasonal time scale in the troposphere (95% level).

Stolarski et al. [2006] and *Pawson et al.* [2008] discuss some aspects of the polar ozone and temperature changes in the P-1 and P-2 simulations. Between 1969 and 1999, ozone loss over the polar cap migrates down from near 10 hPa in August to near 200 hPa in November, with largest between 50 and 70 hPa during October (Fig. 2a). (Tropospheric ozone in GEOS-CCM is

1 represented by relaxation to climatology, so no trends are expected.) Polar ozone loss forces
2 lower stratospheric cooling in the polar cap (Fig. 2c), most pronounced at 100 hPa in December.

3 The polar cooling increases the meridional temperature gradient and causes westerly zonal
4 wind anomalies in the stratosphere (Fig. 2e). Changes in tropospheric westerlies maximize
5 during December and January, lagging the stratospheric zonal wind changes by one month. The
6 SAM index increases by 1.7 during summer (Dec.-Feb. mean, Fig. 2g).

7 The seasonality of tropospheric and stratospheric polar climate changes during austral
8 spring and summer simulated with GEOS-CCM agrees well with observations [*Thompson and*
9 *Solomon*, 2002] and model sensitivity studies that prescribe observed ozone depletion. The
10 dependence of the magnitude of summertime SAM changes on the lower stratospheric ozone
11 depletion is obvious when runs P-1 and P-2 are contrasted (not shown). The simulated summer
12 trends in near-surface circulation are very similar to observations (red line, Fig. 2g) despite the
13 high bias of ozone changes. The mechanism linking tropospheric circulation changes to
14 stratospheric polar ozone changes is not well understood. Idealized models reveal that this
15 sensitivity results from a complex feedback involving synoptic scale eddies in the troposphere,
16 and is not purely a local response to the ozone induced temperature changes [*Kushner and*
17 *Polvani*, 2004; *Song and Robinson*, 2004].

18 Projected changes for 2006-2094 (Fig. 2, right panels) are now contrasted with those for
19 1969-1999. Polar ozone depletion and recovery are clearly characterized by very similar seasonal
20 changes with opposite signs. Because the ozone-hole recovery occurs more slowly than the onset
21 (Fig. 1), these circulation changes occur over different periods (around 30 years for onset versus
22 90 years for recovery). The main features related to ozone recovery are the maximum ozone
23 increase at 50 hPa during October (Fig. 2b) and subsequent warming and easterly zonal wind

1 changes in the lower stratosphere (Fig. 2f). Main tropospheric changes linked to the seasonal
2 impact of ozone recovery are the near 2 m/s decrease of the circumpolar westerlies during
3 summer. The Dec-Feb. mean SAM index decreases by -1.4 (Fig. 2h). Comparing the Dec-Feb.
4 changes in SAM index between period I (+1.7) and period II (-1.4) suggests that changes caused
5 by polar ozone depletion during 1969-1999 almost reverse during the C21.

6 Superposed on the seasonal impact of ozone recovery is the influence of increasing GHG
7 concentrations, which dominates SH polar climate change during the rest of the year. These well
8 known features include the cooling of the stratosphere, strengthening of the stratospheric polar
9 night jet and tropospheric westerlies during winter. The magnitudes of winter and summer
10 changes of SAM index are very similar but with opposite sign (Fig. 2h).

11 A comparison between the CCM runs that are forced with and without chlorine changes,
12 clearly point out the seasonal impact of ozone recovery in the changing atmosphere. Changes in
13 the C21C11960 simulation have the same sign throughout the year, with cooling in the
14 stratosphere (Fig. S1b in auxiliary material) and strengthening of tropospheric westerlies (Fig.
15 S1c, green lines in Fig. 2h, and Fig. S1d).

Comparison with AR4 C21 simulations

The results from GEOS-CCM motivate a re-examination of the impacts of ozone change in the C21 AR4 simulations. For comparison, two grand ensemble means of 21 and 19 multi-model AR4 SRESA1B simulations are investigated; these span the C21 and either include ozone recovery forcing (AR4-O₃Rec) or exclude it (AR4-O₃Const). The ensemble members are listed in the auxiliary materials (Table S1). This analysis differs from that of *Miller et al.* [2006] because the ensemble AR4-O₃Rec excludes the simulations that prescribe depleted ozone values through the C21.

Figure 3 shows the seasonal cycle of three-month overlapping total changes in the SAM index during period II for the two AR4 grand ensembles and CCM simulations. AR4-O₃Const and AR4-O₃Rec agree very well during winter, exhibiting a significant increase of the SAM index therefore due to increasing GHGs. Towards austral summer their seasonal cycles diverge significantly. In the AR4-O₃Rec, the amplitude of SAM index change drops towards zero, while in AR4-O₃Con it remains significantly positive, as in the other seasons.

The impact of the Antarctic ozone hole recovery on the summer circulation is more pronounced in the CCM simulations C21-1 and C21-2, where there is a significant decrease of the SAM-index (Fig. 3). During winter, the increase of the SAM index is similar between the AR4 and C21 simulations as indicated by the mean of C21-1 and C21-2 (blue line). Fig. 3 also illustrates that the simulation with fixed chlorine exhibits a similar positive change to AR4-O₃Const. These results reveal that the response to increasing GHG concentration on tropospheric circulation is very similar in the AR4 and GEOS-CCM model configurations.

Conclusions

The impact of Antarctic ozone-hole recovery on SH polar climate was investigated using the GEOS-CCM. The ozone recovery through the C21 leads to a warming of the polar stratosphere during spring, stronger easterly zonal winds in the stratosphere during late spring and early summer, and an increase of tropospheric westerlies during summer. These seasonal changes reverse those caused by Antarctic ozone depletion in the late C20.

The main result concerns the combined impacts of stratospheric ozone recovery and GHG increases on the seasonal changes in the Antarctic tropospheric circulation. Prior studies have established that GHG increases cause a year-round positive shift of the SAM index. Excluding the onset and recovery of the ozone hole from GEOS-CCM leads to a similar response. Runs C21-1 and C21-2 demonstrate that the Antarctic ozone hole recovery during the C21 has a seasonal effect on the SH tropospheric circulation that dominates and opposes the GHG-induced tendency of the SAM index. In the GEOS-CCM simulations, any GHG-induced increase of summer tropospheric westerlies is overcompensated by the polar ozone increase, and changes caused by past polar ozone depletion almost reverse.

Some comparisons were made with ensembles of AR4 simulations. Separation of the C21 simulations into two groups, that do and do not represent ozone recovery, revealed that the impact of ozone recovery is significant but smaller than in the GEOS-CCM. The differences between the AR4 and CCM simulations most likely arise from the more complete representation of the middle atmosphere in the CCM, especially the interactive ozone forcing. There are several uncertainties to this conclusion:

- The GEOS-CCM results were deduced from three simulations, compared to ensemble averages for the AR4 models. Although the causality of the changes in GEOS-CCM is clear,

1 the magnitude of the response may be reduced in an ensemble average.

- 2 • Because the GEOS-CCM simulations omit the coupling to an interactive ocean model, the
3 coupling among the middle atmosphere-troposphere-ocean/sea ice was not represented.
- 4 • Other CCMs differ in features of stratospheric climatology (e.g., interannual variability of the
5 polar vortex, final vortex breakup in spring) and coupling to the troposphere (e.g., vertical
6 propagation of wave activity). They also give diverse predictions of the year when ozone
7 recovers to pre-ozone-hole values [*Eyring et al.* 2007].
- 8 • The relative contributions of ozone recovery and GHG increases on tropospheric circulation
9 will also be sensitive to the GHG scenario used in the simulations.

10 These points can be addressed in several ways. First, comparison of results from the various
11 CCMs in *Eyring et al.* [2007] with AR4 simulations is already underway and yields results
12 consistent with those reported here (Son, Polvani, and Waugh, personal communication, 2008).
13 Second, simulations with different GHG and ODS evolutions will be helpful for examining the
14 changes in the late C21. Third, the climate responses to ozone change can be fully investigated
15 in models that include coupling between ocean, atmosphere and chemistry.

16 The results of this study demonstrate a feasible mechanism of how climate change, on
17 decadal to centennial timescales, may be impacted by the stratosphere. The growth and decay of
18 the ozone hole leads to a discernable signature on Antarctic surface climate change, which in
19 summertime dominates the change induced by increased GHGs. This ozone-induced anomaly
20 peaks near the time of maximum ozone depletion and decays over several decades, after which
21 the GHG-induced change begins to dominate. These results support the argument for including
22 stratospheric processes in assessments of anthropogenic climate forcing. The full climate
23 impacts of ozone change will be more completely addressed using CCMs coupled to full-depth

1 ocean and interactive sea ice modules, which should capture the inertia of the anomalous
2 radiative forcing and the air-sea interactions arising from the slowdown of the tropospheric
3 westerlies – these feedbacks could modify the seasonality and the longevity of the response
4 isolated in this study.

5
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Figure Captions

Figure 1:

Time series of 70-hPa minimum zonal mean ozone mixing ratio [ppmv] over SH polar cap area (between 90°S and 60°S) during October (using daily model output).

Figure 2:

Monthly changes in polar cap ozone (90°S-64°S), polar cap temperature (90°S-64°S), mid-latitude zonal wind (70°S-50°S), and 3-month overlapping changes of near surface SAM index (blue lines in Fig2.g and h). Left panels: period I (ensemble mean [P-1,P-2]), right panels; period II (ensemble mean [C21-1,C21-2]). Red line in Fig.2g indicates observed changes in SAM index based on Marshall index [Marshall, 2003]. Labels in Fig. 2g and 2h indicate center month of 3-month mean.

1 **Figure 3:**
2 Time series of three month overlapping changes of near surface SAM determined as surface
3 pressure difference between 40°S and 65°S for period II for AR4-O₃Rec (dashed black line: 21-
4 member ensemble mean; light brown shading: 95% confidence interval), AR4-O₃Const (solid
5 black line: 19-member ensemble mean; brown shading: 95% confidence interval) and GEOS-
6 CCM (blue star symbol: C21-1; blue plus symbol: C21-2, solid blue line: mean [C21-1, C21-2];
7 solid green line: C21C11960).

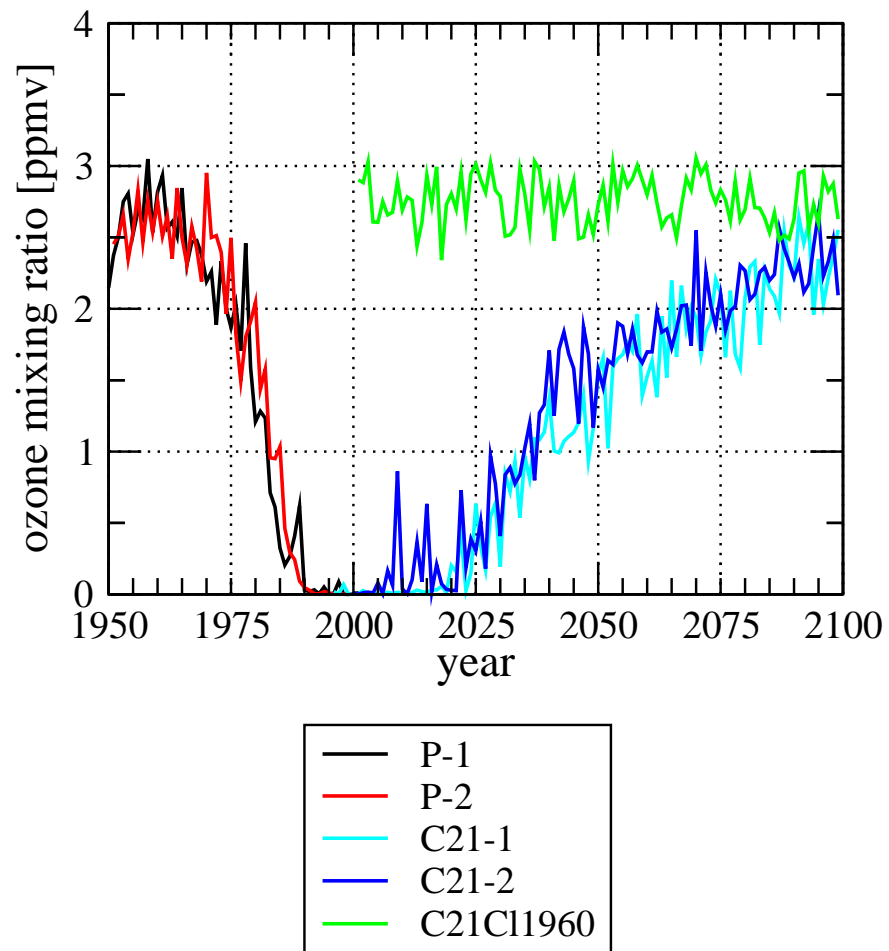


Fig. 1

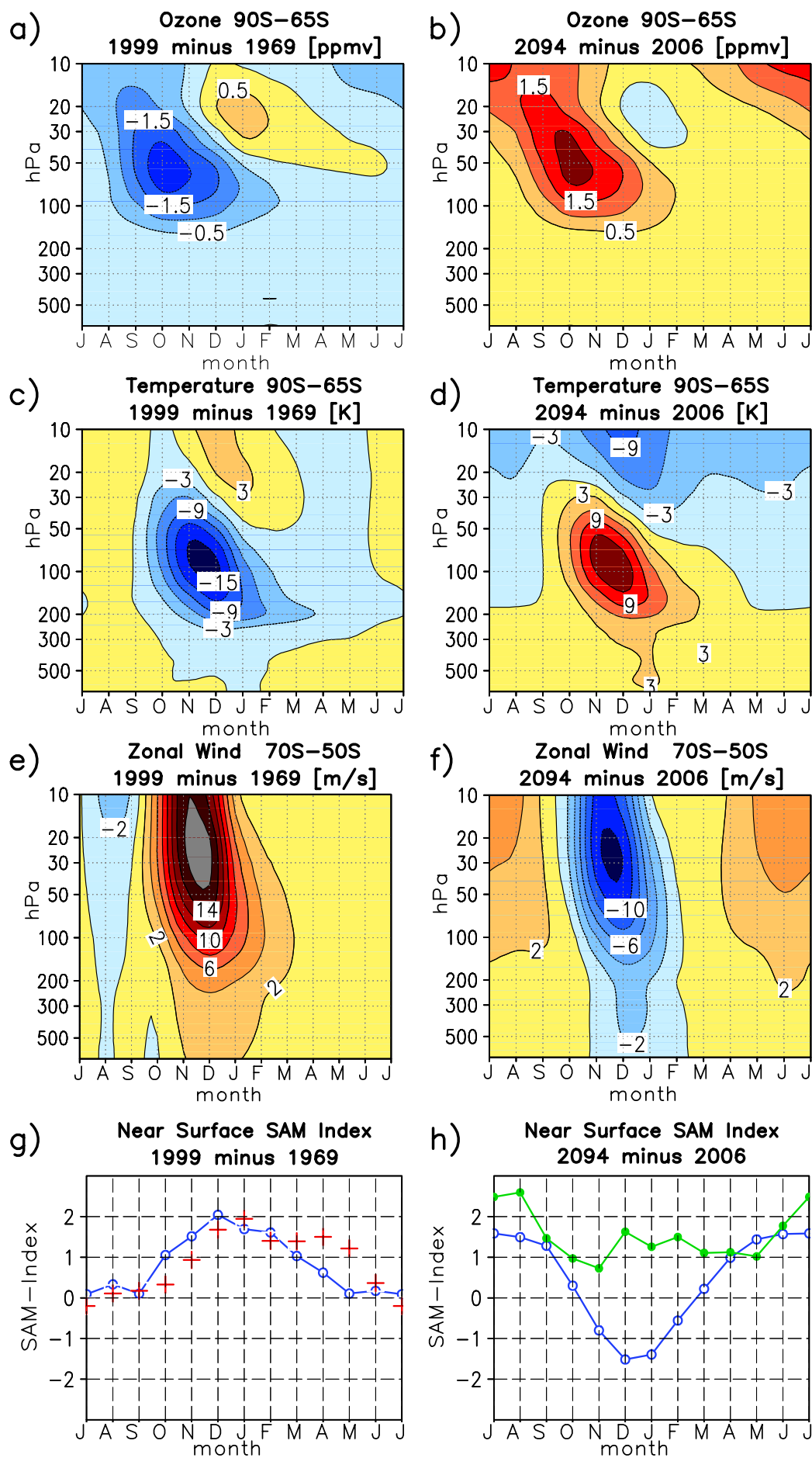


Fig. 2

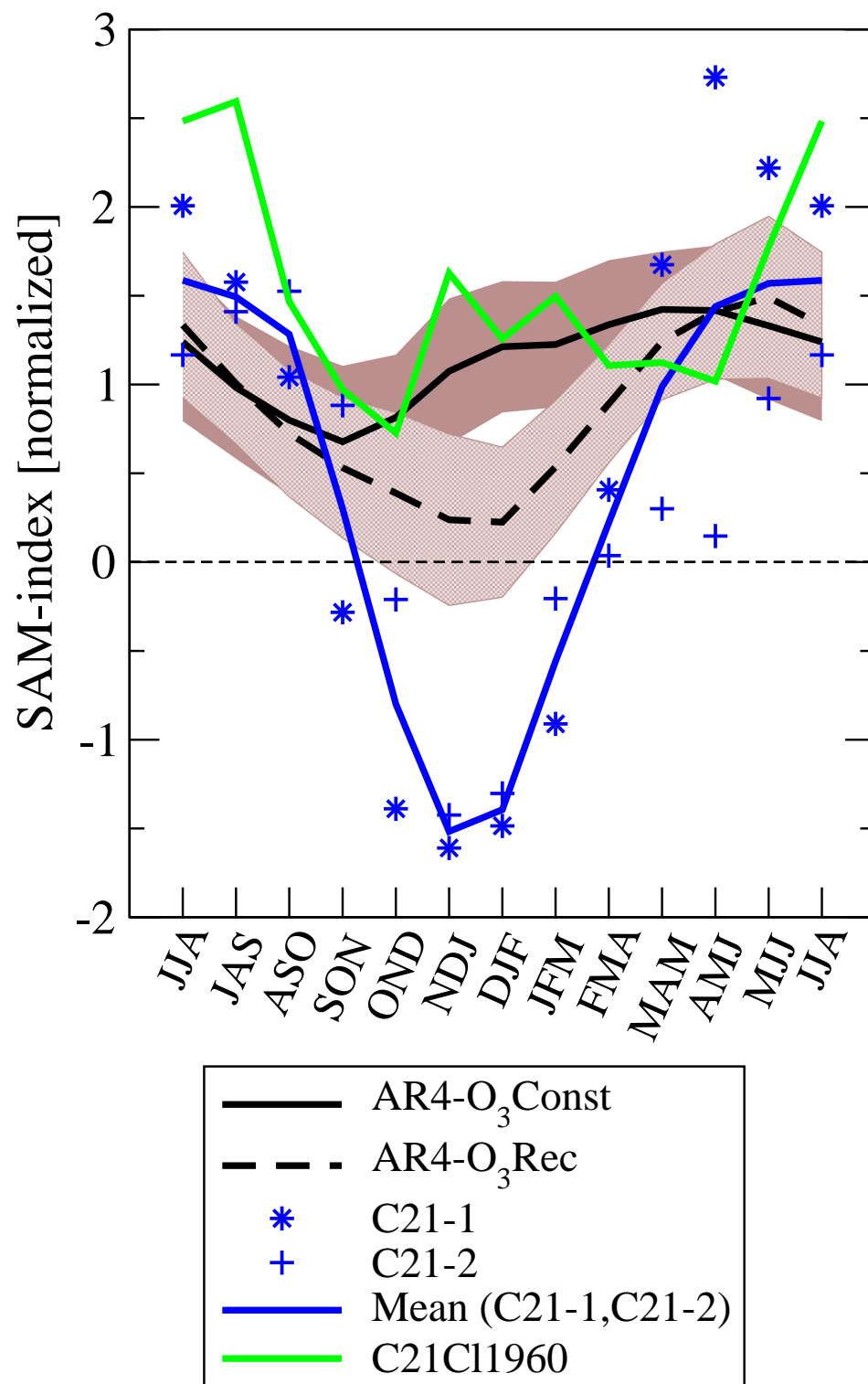


Fig. 3

1 Auxiliary Material:

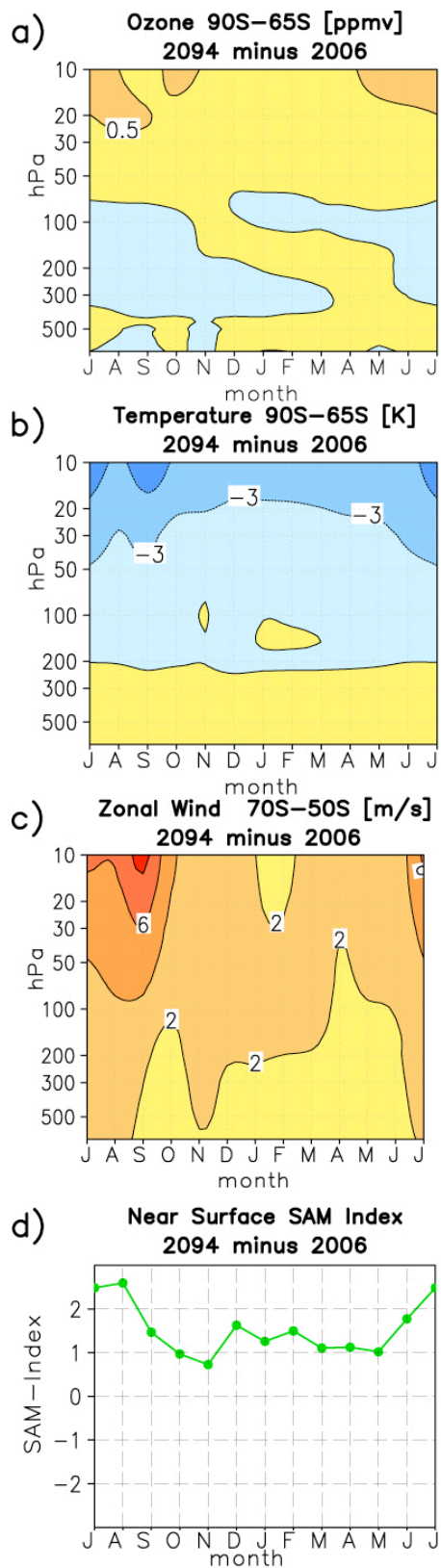


Figure S1: Monthly changes in polar cap ozone (90°S–64°S), polar cap temperature (90°S–64°S), mid-latitude zonal wind (70°S–50°S), and 3-month overlapping changes of near surface SAM index for C21Cl1960.

Table S1. Description of the IPCC AR4 general circulation models used in the study. Members = number of ensemble runs used for A1b scenarios, ozone = indication of whether or not model contains ozone recovery forcing; horizontal resolution = approximate output resolution of models; model top = height of atmospheric model top.

Model	Country	SRES A1B Members	Ozone	Horizontal Resolution (lon x lat, degrees)	Vertical Levels	Model Top (hPa)
CCCMA ^a CGCM3.1	Canada	5	N	2.80 x 2.80	31	1
IAP ^c FGOALS	China	3	N	2.81 x 2.81	26	2.2
IPSL ^d CM4	France	1	N	3.75 x 2.50	19	4
MIROC ^e MedRes	Japan	3	Y	2.81 x 2.81	20	30 (km)
MIUB ^f ECHO_G	Germany / Korea	3	N	3.90 x 3.90	19	10
MPI ^g ECHAM5	Germany	3	Y	1.88 x 1.88	31	10
MRI ^h CGCM2	Japan	5	N	2.81 x 2.81	30	0.4
NASA GISS ⁱ Russell AOGCM	USA	2	N	4.00 x 3.00	12	10
NCAR ^j CCSM3.0	USA	7	Y	1.41 x 1.41	26	2.2
NCAR PCM1	USA	4	Y	2.81 x 2.81	18	2.2
NOAA GFDL ^k CM2.0	USA	1	Y	2.50 x 2.00	24	3
NOAA GFDL CM2.1	USA	1	Y	2.50 x 2.00	24	3
UKMO ^l HadCM3	UK	1	Y	3.75 x 2.50	19	5
UKMO HadGEM1	UK	1	Y	1.875 x 1.25	38	39.2 (km)

^aCanadian Centre for Climate Modelling and Analysis

^cInstitute of Atmospheric Physics

^dInstitut Pierre Simon Laplace

^eModel for Interdisciplinary Research on Climate

^fMeteorological Institute of the University of Bonn

^gMax Planck Institute for Meteorology

^hMeteorological Research Institute

ⁱNational Aeronautics and Space Administration Goddard Institute for Space Studies

^jNational Center for Atmospheric Research

^kNational Oceanic and Atmospheric Administration Geophysical Fluid Dynamics Laboratory

^lUnited Kingdom Meteorological Office, Hadley Centre